To Establish or Not to Establish?

DISSERTATION AUTHORS, PRIVACY, AND THE POSSIBLE COMPLICATIONS OF RDA

BY
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FOR THE OHIO VALLEY GROUP OF TECHNICAL SERVICES LIBRARIANS 2011
Do you add birthdates to name headings for theses?

If so:
• Where do you get said information (via Registrar's office?, Vita?, CV fact sheet etc.)?
• Do you routinely add them, or do you just use them to break a conflict?
• Are there issues you confront from authors if they see this information in FirstSearch, public catalog &/or the Web? How do you handle such issues?
Initial Questions

- Why establish this author?
- Establish locally or internationally?
Establish?

- Pros
  - Helping patrons
  - Promoting your own grads
  - Helping other catalogers
  - Increasing your stats

- Cons
  - Training
  - Time consuming
  - Expensive
Complications

- Privacy issues
- Form of name
- OCLC increase in articles
- Time constraints
- Complaints from authors
- Graduate schools or registrars
- RDA
Feeling Confident About a Name

- Ask authors to fill out form
- Join forces with your registrar or graduate school
- Require a cv with certain information included
- Hope for the best with the materials you have
## MARC fields for NARS

- **1XX** Established heading
- **4XX** Cross-references
- **5XX** See also references
- **6XX** Supporting information
| Rec stat | Type | Upd status | Enc lvl | Source | Roman | Ref status | Mod rec | Name use | Govt agn | Auth status | Subj | Subj use | Series | Auth/ref | Geo subd | Ser use | Ser num | Name | Subdiv tp | Rules |
|----------|------|------------|--------|--------|-------|-----------|--------|---------|----------|------------|------|---------|--------|--------|---------|--------|--------|-------|--------|--------|-------|
| n        | z    | a          | n      | c      | n     | a         |        |         | n        | a          | a    | a       | n      |        | n       | b      | n      | a     | a      | c      |

<p>| 040 | OU | b | eng | c | OU |
| 100 | 1  | Rochdale, Eleanor |
| 670 |    | The anti-hero in the novels of Georgette Heyer, 2011 | b | t.p. | (Eleanor Rochdale) |</p>
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040 | OU ‡b eng ‡c OU

100 1 Goddard, Stuart, ‡d 1954-

670 The early punk influence in the works of Adam Ant ‡b t.p. (Stuart Goddard) c.v. (b.1954 in London; B.A. from Hornsey College of Art, 1980; Ph. D. Ohio State Univ., 1990)
### RDA New NAR Fields

- **046** Special coded dates
- **366** Content type
- **370** Associated place
- **371** Address
- **372** Field of activity
- **373** Affiliation
- **374** Occupation
- **375** Gender
- **376** Family information
- **377** Associated language
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Sources for more information

- Electronic theses and dissertations: developing standards and changing practices for libraries and universities by Robert E. Wolverton, Lona Hoover, Susan Hall, and Robert Fowler.
- PCC listserv October 4, 2010 to October 26
- MARC Standards
- RDA Toolkit
New MARC fields with links

MARC 21 Format for Authority Data

- 046 - Special Coded Dates
- 336 - Content Type
- 370 - Associated Place
- 371 - Address
- 372 - Field of Activity
- 373 - Affiliation
- 374 - Occupation
- 375 - Gender
- 376 - Family Information
- 377 - Associated Language